



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov



CONFIRMATION NO. 3044

Bib Data Sheet

SERIAL NUMBER 09/680,923	FILING OR 371(c) DATE 10/06/2000 RULE	CLASS 705	GROUP ART UNIT 3694	ATTORNEY DOCKET NO. 40108/00101
-----------------------------	--	--------------	------------------------	---------------------------------------

APPLICANTS

Steven Bechhofer, Munich, GERMANY;
 Bernd Collmer, Hohenkirchen-Siegertsbrunn, GERMANY;
 Edgar Wittman, Gauting, GERMANY;
 Michael Pfennig, Muhlheim, GERMANY;
 Achim Haug, Munich, GERMANY;

** CONTINUING DATA *****

This appln claims benefit of 60/238,416 10/06/2000

DK SMD

** FOREIGN APPLICATIONS *****

none SMD

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

** 12/08/2000

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no		
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after		
Verified and Acknowledged	Examiner's Signature <u>S. M. D.</u> Initials <u>SMD</u>		
Allowance			
STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
GERMANY	7	15	3

ADDRESS

30636

TITLE

System and method for managing risk and opportunity

FILING FEE RECEIVED 840	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
----------------------------	---	---